

Regulatory Requirements

Schedule	Registration	Recordkeeping	Distribution Restrictions	Dispensing Limits	Security	Manufacturing Quotas	Import/Export		Manufacturer/Distributor Report to DEA	
							Narcotic	Non-narcotic	Narcotic	Non-narcotic
I	Required	Separate	Order forms	Research use only	Vault/safe	Yes	Permit	Permit	Yes	Yes
II	Required	Separate	Order forms	Rx: written or oral; no refills	Vault/safe	Yes	Permit	Permit	Yes	Yes
III	Required	Ready retrievable	Records required	Rx: written or oral; with medical authorization; refills up to 5 times in 6 months	Secure storage area	No; Some drugs limited by Schedule III quota	Permit	Declaration	Yes	No
IV	Required	Ready retrievable	Records required	Rx: written or oral; with medical authorization; refills up to 5 times in 6 months	Secure storage area	No	Permit	Declaration	No	No
V	Required	Ready retrievable	Records required	OTC (Rx and limited to 1 MO border)	Secure storage area	No; Some drugs limited by Schedule II quota	Permit to import; Declaration to export	Declaration	None; Future BNF	No

Federal Trafficking Penalties

DSA Schedule	Type of Drug	First Offense			Second Offense		
		Maximum Imprisonment (years)	Maximum Fine (thousands of \$)	Minimum Parole (years)	Maximum Imprisonment (years)	Maximum Fine (thousands of \$)	Minimum Parole (years)
I	Narcotic	15	25	3	30	50	6
	Non-narcotic	5	15	2	10	30	4
II	Narcotic	15	25	3	30	50	6
	Non-narcotic	5	15	2	10	30	4
III	Narcotic	5	15	2	10	30	4
	Non-narcotic	5	15	2	10	30	4
IV	Narcotic	3	10	1	6	20	2
	Non-narcotic	3	10	1	6	20	2
V	Narcotic	0	5	0	2	10	0
	Non-narcotic	1	5	0	2	10	0

For simple possession of any controlled substance: First offenders may receive up to one year, \$5,000 fine, or both, and if under 21 may receive up to one-year probation and thereafter motion court for expungement of all records; second offenders may receive up to 2 years, \$10,000 fine, or both.