Transcript of Deposition of Leonard J. Duhl

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1 SUPERIOR COURT OF NEW JERSEY LAW DIVISION: MORRIS COUNTY 2 DOCKET NO. L-6001-78 P.W. 3 MORRIS COUNTY FAIR HOUSING COUNCIL, et al, 4 Plaintiffs, 5 vs. DEPOSITION OF 6 BOONTON TOWNSHIP, et al, LEONARD J. DUHL 7 Defendants 8 9 Thursday, March 13, 1980 Newark, New Jersey 10 APPEARÂNCES: 11 STEPHEN M. EISDORFER, ESQ. 12 DEPARTMENT OF PUBLIC ADVOCATE Attorney for Plaintiffs 13 MC CARTER & ENGLISH, ESQS. 14 BY: ALFRED L. FERGUSON, ESQ. Attorneys for Defendants 15 LORRAINE VAN TASSEL 16 Shorthand Reporter 17 REPORTING SERVICES ARRANGED THROUGH: 18 ROSENBERG & ASSOCIATES CERTIFIED SHORTHAND REPORTERS 19 769 Northfield Avenue West Orange, New Jersey 07052 20 678-5650 21 22 23 24

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3	Leonard J.	Duhl					
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5	By: Mr.	Fergus	on			39	
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7	IDENT. NO.		DESCR	IPI	'ION		I.D.
8	PLD-1		Lette	ro	lated De	ecember 13,	4
9			1979	to	Carl Bi	.sgaier	
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(Before Lorraine Van Tassel, a Notary 1 Public and Shorthand Reporter of the State of 2 New Jersey, taken at the offices of Mc Carter 3 & English, Esgs., 550 Broad Street, Newark, 4 New Jersey, on Thursday, March 13, 1980, 5 commencing at 1:00 p.m.) 6 D U H L, sworn. LEONARD J. 7 MR. EISDORFER: Let the record show 8 that this is a deposition in the case of 9 Morris County Fair Housing Council versus 10 Boonton Township, Docket No. L 6001-78. 11 DIRECT EXAMINATION BY MR. EISDORFER: 12 Doctor Duhl, would you state your full 13 name and address please? 14 It's Leonard J. Duhl. My home address is 15 639 Cragmont (C-r-a-g-m-o-n-t), Berkeley, 16 California. 17 Have you ever given a deposition before? 18 Yes. 19 Let me explain some of the ground rules. COTTO 20 . Do you understand that you're testifying under 21 oath? 22 Yes, I do. Α 23 And do you understand that the testimony Q 24 you give today may be used at the trial in this case? 25

1 Yes. A 2 Now, if you don't understand any question Q 3 would you please say you don't understand and I'll try 4 and clarify it? Is that clear? 5 Yes. Α 6 Okay. And at some point, I may ask you 7 for specific numbers or figures. If you don't recall 8 the specific number or figure, please say so and give 9 me the best estimate you can. 10 Is that clear? 11 Fair enough. Α 12 Now, this isn't intended to be a test 0 13 If at any time you want to refer to of your memory. 14 any documents, please say so and indicate what document you're referring to and feel free to do so, is that 15 clear? 16 Yes. **17** You have to respond out loud so that 18 the court reporter can take it down. 19 Fair enough. 20 Would you tell me what your profession 21 or occupation is? 22 It's long and complicated. I'm a professor 23 of city planning, a professor of public health, I am a 24 physician, an M.D., and I'm a psychiatrist and I teach

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1	at the University of California in Berkeley and have done						
2	so since 1968.						
3	Q And in what department do you teach now?						
4	A All the ones I have so mentioned.						
5	Q And in general, what are your						
6	responsibilities in that position?						
7	A Well, I teach courses in planning and social						
8	change, I teach courses on health, public health and						
9	mental health and psychiatry. I supervise graduate						
10	students among other things.						
11	MR. EISDORFER: Okay. I would like						
12	this document marked for identification.						
13	(Whereupon, a letter dated December 13,						
14	1979 to Carl Bisgaier from Mc Carter & English						
15	is received and marked PLD-1 for identification.						
16	BY MR. EISDORFER: (Continued)						
17	Q Let me show you the document that has						
18	been marked PLD-1 and ask you if you are familiar with						
19	it?						
20	X Yes, I am.						
21	Can you tell us what that is?						
22	A This is a letter from Mr. Ferguson						
23	trying to pull together some of the things that he and						
24	I talked about that were related to my testimony.						
25	Q Have you read this letter?						
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Yes, I have. 1 Does it accurately relect your views? 2 It reflects the views as we discussed it at A 3 the time, yes. 4 Are there any respects in which it does 5 not accurately reflect your views? 6 No, it's accurate. It's just that it's not --7 it's a very short version of what I would be talking 8 about, yes. 9 We'll try and expand it today. 10 Would you describe how you became involved in 11 this case? 12 Well, my background is in, as I told you, both 13 in city planning and in public health and in mental 14 health. And when the question came up about the 15 development of housing in the community, I was originally 16 asked to look at the mental health aspects and the 17 health aspects of the housing and the planning for the 18 communities. 19 Approximately when was that? 20 Oh, I think that was late November. 21 - November: 22 Now, did you participate in writing 23 this letter? 24 I never wrote the -- I didn't write the letter 25

1	particularly. This comes out of the summary of our					
2	discussions.					
3	Q Now, prior to the writing of this					
4	letter, had you received any information about this case					
5	from Mr. Ferguson or from any other defendants?					
6	A I got a few of the pieces of material based					
7	on the case.					
8	Q And what items were they?					
9	A I don't have them with me, but they included					
10	some of the various testimony of various people.					
11	Q Can you just describe to me generally					
12	what types of documents they were?					
13	A They were primarily the testimony of the various					
14	people who were involved in the case and who are the					
15	plaintiffs. Do you want me to read this to you?					
16	Q If you could please.					
17	A The enclosures I got in the original letter in					
18	November, November 13th, was a letter to Carl Bisgaier					
19	re: the Mood testimony, October 19th, a similar letter					
20	for Mood testimony on November 12th, both 1979, the					
21	home builders'					
22	MR. FERGUSON: Why don't I give you a					
23	copy of that and you don't have to read it?					
24	MR. EISDORFER: That's fine.					
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Now, in addition to these documents, 0 1 basically summaries of the testimony of various 2 individuals, did you receive any information about 3 Morris County? 4 At that time, no. Α 5 Did you have any other information 6 pertaining to the case aside from these documents? 7 At the time that I received them, I had nothing 8 except a general comment about the case that Mr. Ferguson 9 gave me on the telephone asking me to come in and visit. 10 Now, based on that information, did you 11 feel you had enough information to form the professional 12 opinions summarized in PLD-1? 13 Well, based upon the conversation that we had, 14 based on reading the material and based upon some reading 15 that I was able to do in California and checking with a 16 variety of people, I got a fair idea of what was going on 17 in the case. 18 Can you briefly describe the additional 19 reading and conversations you had? 20 Well, I have to go back in time to really point 21 out that for a long time, I've been deeply involved in 22 poverty programs, I have been deeply involved with, in 23 fact, the development of the neighborhood legal services 24 and, in fact, helped originate that program back when I

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was in Washington. We have trained a fair number of students who are both lawyers and city planners. And what I was able to do was to talk to some of them and to some of the people at the university to get a rundown on what was going on in New Jersey in terms of the Court decisions and on the basis of that came up with the conclusion that this would be something of great interest to me.

I think the thing that interested me most was that the State Supreme Court has indicated that there should be an increase in housing available to the poor in a fair share distribution around the state and since this was in line with an awful lot of things that I was concerned with and pushing for many, many years, I was interested in the attorneys for the defendant townships, was interested in enlarging the notions of planning for the housing beyond the concept of just building houses alone into a larger definition including issues having to do with health, mental health, and other community issues. It was on the basis of that that I got involved in it.

Q Now, subsequent to December 13th, the date of PLD-1, did you receive any other documents pertaining to the case?

MR. FERGUSON: Just one point,

Mr. Eisdorfer. Between the letter of November 13 and our letter of December 13, Doctor Duhl came east and conferred with us in the office and indeed he went with us to see Doctor Esser whose deposition you took. And much information was discussed and documents reviewed at those meetings. And I didn't want you to miss those meetings. If you were asking about what — this witness's background in the case.

Q Okay. Thank you. Can you summarize to me the kinds of information that were provided to you at that meeting?

A Well, what we were doing was looking at the literature that has developed having to do with community, with housing construction, with planning, with its relationship to broader issues having to do with health and with mental health. And what we really spent most of our time talking about was that most of the legal cases and most of the decisions and most of the planning seemed to be primarily focused on the construction of houses, on economic considerations, and on the need to make housing available for the poor and very little interest was evidenced in the fact of whether you are concerned with the poor or the non-poor, that tremendous numbers of sport services, infant

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a community were being considered. And if one is concerned with health as I am and as Doctor Esser is, you get preoccupied with questions of what makes health. And we discussed the fact that a lot of the information and research on health had not been brought into this decision making and we also discussed the fact that the Canadian government has been interested in the environment and problems of the environment and how that environment is affected by all kinds of construction, housing and otherwise, and what the impact of that construction would be on the lives of people both in a short term and in a long term.

Out of this came a series of notions which said that least cost, which as I understand the courts, is prime consideration in the building of housing, is primarily based on pure economic considerations, what is the least cost to the people who will be ultimately living or buying into the houses rather than concerning themselves with the notion that cost is something that is not only measured in economic terms on building, but economic terms in terms of social services, health services, all the services that are required to make up a community and that, in fact, if one built a community with no services and just built houses alone,

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that one would get into a situation where you would be transferring the costs from housing to social services and other community services and transferring also the costs overtime from the present to the future when the results of the actions will play itself out. Those are the kinds of things we were talking about.

And we talked about the fact that I would try to put together some ideas about what some of those issues are that are being missed.

Now, at that time, in connection with those meetings, did you review any additional documents or written materials in connection with the case?

A None that I remember.

Q Now, since those meetings and since this letter --

MR. FERGUSON: Let me interrupt. We did discuss various documents and I told both Doctor Esser and Doctor Duhl about various issues in the case and reports of various experts. There was not time because of the discovery limit to have both those witnesses review everything in detail and to some extent, Doctor Duhl is in the same position as Doctor Esser in that they did rely to some extent on material I had given them.

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Okay, thank you. Now, since that time,

have you received any additional information about the case?

That's correct.

I think there were a few other things that were mailed to me which we can give you a copy of if you want. It was the letter report reporting on our conversation, similar conversation report of Doctor Esser and so forth.

Now, in addition to these documents, Q have you reviewed any of the materials in connection with this case?

No materials were provided from the case.

Q Have you yourself gathered any material pertaining to the case?

Well, I started to take a look at the literature both in the housing field and outside trying to pull together some data for the arguments that I just outlined to you. In addition to that, I talked to a variety of people including the chairman at USC, Professor Baer, who was given funds from public health service to update and revise the APHA Report on housing quality.

Do you know the name of that report? There is no report. There are a series of Α

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papers and all I have at the moment are verbal reports from Professor Baer, but I do not have the reports themselves. He had promised to send them, but had not done so.

Further, I reviewed the APHA books on the APHA standards and found out, for example, that the standards that were talked about in the Decision which had to do with 160 square foot for the first person and 100 square foot as the minimum requirement which were originally designed for barracks was rounded out by a second document as early as 19 I think '49 or '50 which said that one could not plan housing based primarily on space but would also have to include the neighborhood development and a lot of community issues having to do with resources, facilities, and the like.

Q Can you give me the name of that report?

A Just the American -- it's a three volume series produced by the American Public Health Association which includes the one that was referred to in the case and there were two other volumes that go with it. You can get that from the American Public Health Association.

MR. FERGUSON: I believe they're Planning the Home for the Occupancy, one Planning the Neighborhood, and I don't know what the third one is.

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	Q	Okay.	If yo	ou as <b>su</b> m	e I'm infi	nitely	
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inform	mation abo	out circu	mstan	ces in N	ew Jersey?		
A	No, no	t specif	icall	y, only	generally	in terms	}
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A No, not specifically, only generally in terms of talking to the people at the Housing Law Center and friends in Berkeley who were indicating that the direction taken in Jersey was being followed by people all over the country, both in terms of the people who are the plaintiffs and on the community as well because they're trying to see what the outcome will be here. I talked to some of the people there about what the issues would be.

Now, have you requested any additional information from Mr. Ferguson or the defendants?

Q Is there more information about the case or about the circumstances in Bergen County or elsewhere in New Jersey that you intend to gather?

A I suppose I would gather some based upon the kinds of material that I'm somehow interested in. And I think it may be worth, at this moment, really trying to spell out for you what I think some of the broader

I started to hint at it and then based on

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this, I can tell you what we're going to do after.

Okay, do that.

can assume that I can take you at your word you're ignorant about the field. So I'd like to really go back into time and really talk about some of the history of housing and renewal in new towns.

Back in the early 1900s, Jacob Reese (phonetic) who was the great housing reformer and for whom a lot of public housing is named in New York came forth with the idea that if you can build new houses for the poor, that clean houses will make clean people. And the assumption was that all you had to do was give people the brand new houses and that that in itself would change their lives.

During the many years since, and especially beginning in the thirties where a public housing program developed, the same concept was present. Increasingly, it became clear that new houses by themselves did not accomplish that and all one has to do is look at the public housing in New York and the famous Pruitt-Igoe Housing Project in St. Louis, (P-r-u-i-t-t -- I-g-o-e) which was a magnificently designed housing project which indeed received numerous architectural awards for its design capabilities, but which turned out to be a factory of mental illness and illness which led

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into 1969, I think, I'm not sure of the dates, but in the mid sixties to HUD causing it to be actually destroying.

It was done so because it was quite clear that to design a beautiful housing project by itself wouldn't quarantee that the people would be healthy or you would even deal with the kinds of problems that they had.

Now, with that as a background, it's very interesting to note that a variety of professions have developed, including the city planning profession with people focusing on housing per se, and what they've done over the years has been to stay in a fairly narrow definition of what the problem of housing is. And the question was how/you deal with design, what's the best physical layout of the community. They began to include issues over the years about transportation and some basic economics. The economics being primarily what the tradeoffs were between the previous slum housing, the newly constructed housing, and later with issues having to do with urban renewal.

In the early 1960s when urban renewal started going full blast around the country, it got a reputation that was really quite strong about -- it was called nigger removal by the people, feeling that its main concern was to get rid of people so that you could increase

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the tax base. And at that particular time, all over the country there began to emerge a tremendous amount of new sconomic analysis so that most of planning began to get an economic base. They were talking about community wide tradeoffs on terms of economics. Would the tax base go up if you put up new housing, would you be better off if you put people in housing? But the West End was an interesting thing because I was Chief of Planning at the National Institute of Mental Health of the United States Public Health Service and we were interested in the problem of stress and crisis in peoples' lives and how people were able to cope and deal with the problem of stress. And so we devised a study of the population of the West End and in that study, we were interested in what happens when a slum community gets destroyed and dispersed.

Out of that has come a series of books which you might want to refer to. There's an article in my book called The Urban Condition, several of those.

There's books by Marc Fried (phonetic) who is now at Boston College and by Herbert Ganz (phonetic) called The Urban Villages.

The reason I refer to this study is that it became very crystal clear that what was a physical slum meaning the West End of Boston was not necessarily a

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social slum. And that to me was a very, very important finding. Because we found that the people were part of artemated families, of networks of support, that the stores, the informal gathering places, were very critical for the strength of that community. That, in fact, that community was in an amazingly socially and physically health community even though they were living in a physical slum.

Why this interests me in terms of this case is that as the people were dispersed from the West End and as the networks of support in the community were broken up, the utilization by the people of social services all over went up by leaps and bounds. And what we, in fact, did was to transfer to social costs what we were making up in tax base. So they put up the Charles River Apartments, increased the tax base but, in fact, by transferring the social costs from one part of the tax rolls to another, these people ended up using hospital services, the police, social welfare agencies, and a whole host of other things. So that basically we transferred costs and we began to raise a serious question as to whether, in fact, the clear answer of slums really were there to assist people or whether the real goal of them was to get rid of them and to send them elsewhere.

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Now, let me suggest at this moment, I'll go

back to a history in a second, but let me suggest that

in design of new communities which are single purpose

that are focusing primarily on the housing itself and

not on the community quality may, in fact, result in

clean houses but a community which has a series of

problems. And I'll get back to that in a little while.

On the basis of that set of studies on the West End which became the most important urban renewal study, the then HHFA which was the precursory to HUD changed the requirements for urban renewal and talked about community organization and community development and really said that you can't disperse people without assisting them in a wide variety of ways.

people out of central city is critical, also the receiving end is equally important so to move people out to the suburbs or to the exurbs as in Morris County is going to raise some of the same issues unless what I'm concerned about, that least cost now begin to include within it social human services and other community costs across the board.

Following that came the period which in short

I'll summarize by saying was the poverty period in the

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community action program. And during this program, most of us felt that the most important activity of the community action program, the so called CAP program, was to increase the confidence of members of the community first by hiring lawyers, but ultimately by later teaching themselves to have the coping skills to deal with the complexity of urban life. And that the whole notion of community action was that active participation in itself is important in order to cope with the world but also important for their health.

At the same time that this was happening, a whole series of studies began to emerge which began to show that active participation in anything that has something to do with one's life literally increased the health and decreased the illness rates of people. When people participated in riots as in Newark, when people went South in Civil Rights programs, it became clear that their health improved. If one considers just a housing program in which you make houses wavailable to people, what you find is that unless they participate in the development of their communities, people have a really difficult time. And they, in fact, have problems of ill health.

Following the poverty program as you probably know came the model cities program and the notion of

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1 the model cities program for the first time was to say, 2 this was HUD policy, that one cannot construct nd or cannot build on urban renewal land unless 4 one dealt with poverty issues and as you may remember 5 or do know, it demanded a series of checkoffs and 6 this was the first development in the poverty field 7 of comprehensive planning, the idea that you couldn't 8 plan for physical planning separated from health 9 planning, from welfare planning and what have you. 10 And this was very, very important. Because for the 11 first time, people couldn't get away with things. 12 Example, again in Newark, there was a series -- the 13 need, I forgot their name, but it was the medical 14 school in New Jersey, asked for 157 or 159 acres of land in central city Newark, right where the riots took 15 16 Some of us, with the help of the state and 17 Paulilla Sacker (phonetic), cut down that usage because 18 we said that the community needed housing and needed 19 participation and needed a whole host of things. 20 we forced the builders, and the we includes people who you happen to be representing at the moment, forced 21 the builders not to just build a medical school or 22 build housing but to do a comprehensive plan of the 23 neighborhood and we ended up fighting not only the 24

governor but Mr. Lyndon Johnson and everybody else to

cut the medical school down to size.

who they never talked to previously.

Now, the reason that case was really of critical importance was that we laid out the notion that the property wind of community planning had to be fairly comprehensive and HUD for the first time had to

cooperate with HEW and with a lot of other agencies

Now, it seems to me with that kind of history, the idea of coming up with a series of cases just to increase the housing stock without demanding at the same time for comprehensive planning all the way across the board seemed to me a backward stop. This is why I got interested in the case.

A whole host of things happened since then.

There have been studies of Seymour Saracin (phonetic)
on community development in New Haven. He has several
out
books that have come/about network theory and community
development and community participation. And he's a
psychologist. And where he's at and where all the
other people who have studied networks are at is that
people who are denetworked, meaning that they don't
before to networks, tend to get ill faster than people
who are networked. And as an aside, I'd say single
parents with one child who have no connections have a
harder time and more illness than people who are part

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of a larger extended family network.

Now, while this is going on, as you may remember, too, there was a notion that the population that we were talking about in the West End of Boston which was Italian Sicilian which had extended families was really guite different from black families. now Senator Patrick Moinahan, Daniel Patrick Moinahan (phonetic) came accross with the notion that black families being what they were had to be changed. Well, since that time, a whole series of studies have been done about central city black families and it comes out that though their family structure is not quite the same as Italian Sicilian, they do have networks of support in tremendous ways and that those networks of support really allow them to survive even with low income. They learn how to, we may not approve of it, but they learn how to hustle, they learn how to work the system, they help each other out in a variety of ways.

Now, I raise that because a series of studies have shown that if you try to move people from one location to another without helping them maintain or have a strong network, that two things happen. is you put on them an increased cost to buy networks, to substitute for the networks that they had, so that

you have people from Appalachia who worked in IBM
who commuted home on a regular basis every two to
three weeks in order to maintain the family ties which
for poor people adds an expense to their lives which
some of us who are well to do and can move into the
suburbs can absorb in our economics.

So what is happening again in the Morris County situation again being an exurban county, you're asking to move poor people to an exurban community disconnected from where they came from which would then throw to them a tremendous increase in costs to make networks.

And secondly, if you can't do that, if they can't make the networks, you then increase their social costs in illness and so forth. So what you're really doing is not helping the poor but just giving them housing alone but in fact you're victimizing them once again.

Now, we have a very beautiful example in

California, it's called Marin City. Marin City was

built by a man who subsequently became a congressman

from New York, James Shoyer (phonetic), who was a very

dedicated and liberal guy who said that in Marin County

which is a magnificent suburb, a lovely bedroom

community with hot tubs and baths, an upper middle class

community, that in the middle of this, he bought up

some land and built low cost housing where blacks moved

that time was that since it was a wealthy county,

the county would after they built this housing

absorb the social cost that was required, other

supposition would be that clean houses would make

clean people and that moving out to this clean county,

the population would improve. What in fact was

created was ghetto of such grave proportions that even

the people who are living there, who are trapped there

and can't get out of there, consider it a horrible

slum and worse than San Francisco and eastern cities.

when Marin County suddenly found themselves stuck with the social costs, they started to scream. They did provide it because they're a rich county. However, I don't need to remind you that California is leading a way on Proposition Nine and now Varis Two (phonetic) and that the tendency of communities to be willing to pay for social costs are going down precipitously so that we have the danger in Morris County of building a ghetto without the surrounding rich community having any ability to pay for the community having any ability to pay for the community prospect as well.

The other kinds of things that have come up are material that you might be interested in is

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Man and His Urban Environment, a sociological approach by Michelson from the University of Toronto who again talks in there about the narrowness of the approach of all kinds of people in looking at urban issues. And one of the things he refers to in the book is an outline of looking at community that was devised by Doxionus (phonetic) who is a Greek architect who is in Athens, who has since died. I came to know Doxionus because he had annual symposiums on his boat every summer, on his boat where people like Margaret Mead, planners like Bob Wood who is an undersecretary of HUD, Charles Har who is quoted in a lot of testimony, doctors, physicians, Barbara Ward, Bucky Fuller, you name them, I can give you a list of them, but came together to talk about the man's environment.

The concept Doxionus has arrived/was a concept of equisition and he has an outline which basically states that you can't separate man from society or from the shells that are created by men to live in and work in or from the network or from the natural And when you put all those pieces together, resources. you really begin to realize how complex the design of a community is.

So that for man, you're talking about biological needs of all kinds, sensations and perceptions,

emotional needs, human relations, moral issues. For society, you're talking about population composition, talking about cultural problems, coping skills, you're talking about cultural problems and I footnote here that we really don't know yet what particular cultures are being attracted to Morris County and I'll get to that in a minute because they all have very different patterns of living.

They talk under society about economic development and here I would footnote that as meaningful jobs and again I would footnote what kind of jobs would be available in Morris County for lower class poor. Although I think there's an increase in jobs as people have indicated in their testimony, I'm not the sure we're talking about the kind of jobs that/very poor who were talked about in the cases, who they are.

He also talks about industrial development under Society, talking about education, health and welfare, legal problems, administrative problems.

add and it's pollution because housing can be pollutant and it housing construction is of the kind that causes illness which there's a lot of at the present time, that's a problem. Community services, commercial and recreational services, equipment stuff, transportation

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facilities. Networking. Public utility stuff, transportation, communication, physical layouts and cial hetworks of family, friends and culture.

I want to get back to that last one in a moment and then talk about natural resources, water and pollution, air circulation, mineral resources, land forms, agriculture, recreation, fishing. you start looking at things that way, you come up with a new definition of community and I quess this is where I'm really driving at. I'm saying in part that a community is a place where the majority of functions required for living takes place, where they get food, clothing, shelter, jobs, meaningful relationships and sports, health services, recreation and education. I personally would add multiple agency, meeting human developmental needs as reflected by various cultures and have a sense of belonging and involvement. Given that, I come to the conclusion very quickly that single purpose communities are very costly and as I said, pay proportionately higher prices because they can't pay for the networking to people that we have.

There's a very famous book out in the sixties called The Poor Pay More by Kaplowitz (phonetic) in which he points out that somehow in order to obtain

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a lifestyle of a suburban community, there are increased costs and thus with the increased costs, the poor have be pay more in order to get those things that are necessary. And I mentioned more about that before.

Now, I want to get back to the networking because there have been some other interesting studies on networking. They're English studies of new towns in which populations were moved from central cities to these suburban new towns. And I've been to visit several of them. Harlowe, there's one called Milton Keys and there are several others. There are also towns in Sweden.

were that people wanted to maintain their connections and ties back home and there you had costs. It was something that the town attitudes which were middle class effectively were communicated and accepted by the poor that moved out, but without the same kind of monetary and coping skills necessary in order to achie. I hope I'm clear on that. I'm saying that when you move out to a community, you would accept how value system, however, if you don't have the skills or monies it would take to make it work, you're put under tremendous stress. What the poor found themselves having especially in one or two of

illness in central city but they ended up with middle class in central city but they ended up with middle class illnesses, but in a higher number than middle class pittizens living in that community as well. That's not in some, but that's some of the things that I've been concerned with historically which go way beyond this individual case but yet impinge upon this case in such a way that it makes/feel extremely strongly that unless we really concern ourselves with social organization, more than we concern ourselves with physical construction, they'll fail.

Now, I have to put something in which I told

Mr. Ferguson I deeply believe and you probably can

guess from my remarks. I have no objection and, in

fact, I strongly believe in integrating populations

all over and I have spent my life trying to do this

and I've spent my life really spending time trying to

get the poor adequate housing and adequate jobs and

adequate legal services and health and what have you.

But I'meally very concerned when, as I indicated

before that fragmented planning ends up victimizing

the poor as much as the horrible qualities of the slums

that they come from. From therein, you can ask some

questions. There's an awful lot more I can tell you

about my position.

Q Okay. That's a nice little essay.

Now, let me see if I understand what you're saying. Is it your position that it's substantially impossible to provide housing for poor people in Morris County?

A No, I did not say it was impossible.

Q Okay. Well, why don't you clarify my paraphrase. I've gotten it wrong.

I'm saying if as the court decisions seem to indicate that least cost is primarily least cost of construction of housing so that you can make housing available chiefly to people, then I think it would be impossible to provide housing for the poor. If least cost, however, includes social costs overtime and the maintenance of the community and the integration of the population into the community through concerted planning efforts both before they leave the city and when they're there and you provide resources for them above and beyond the housing, I think there's a good possibility that there may be success.

Now, again I'm going to paraphrase me if I'm getting it wrong.

Am I correct in saying that you would say to a court that has a choice of essentially endorsing the status quo or not endorsing the status quo but

adopting what you understand to be plantiff's theory that it should endorse the status quo?

refuse to make a choice between those two

Q I'm not sure there is in this case, but go ahead and tell me what you think it is.

A I think quite clearly the status quo can't be maintained. Not only because the court says it can't be maintained, but the process of population mobility and change around in cities and urban and suburban areas and such that sooner or later populations will move out there. That's one thing. The population is going to change and they will have to provide services.

because as I indicated before, purely the provision of housing will not do that. I have a feeling that what may be really critical is to ask the community to provide for a plan at least cost because redefining least cost as I've indicated all the way across the beard, a plan for integrating new populations, low cost housing, but to provide services which maintain the community. And I would ask the community to come back with a series of recommendations and regulations that would permit that thing to occur in that way.

Q And that would be your ideal outcome?

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Yes.

'd say it's better than either the plaintiff's argument or the status quo.

You've used the term poor and people use the term poor in different ways. Would you tell me what you mean by the term poor?

If I have to believe the court who say that Α they want to stick to 160 square feet and a hundred square feet for every subsequent person that the only people that are living in those kinds of housing at the moment are single people fresh in the job market and very young and people with low incomes. At the moment, for families of four, \$12,000 is considered about the poverty level. And when I'm talking about the poor, I'm accepting that as the figure at the moment.

To what extent does the analysis you've described apply to -- let me break it up into three categories. Let's start to what extent does it apply to the rich and let's pick a cutoff point of, only breaking it into three categories, of people with incomes of over \$25,000 per family?

That's called middle class.

Q Okay.

And lower middle class nowadays. How does 1 that apply? Yes. First thing is that people with \$25,000 and other income since they do happen to believe in the 5 American way of life don't move into houses. For four 6 people would be 460 square feet. 7 Your definition is tied wholly to 8 those figures? 9 The definition of poverty? Α 10 You've given me a lengthy analysis 11 and it's taken you three quarters of an hour to do it 12 and I'm trying to ascertain to whom that analysis 13 applies. Does it apply to the entire population, does 14 it apply to only segments of the population and I'm 15 starting out by dividing it out by economic status. 16 I'm making an assumption that the reason your 17 office is --18 Don't make any assumptions. 19 Marie Sis. 22

A That's my analysis. I'm saying that the only that the only or I in the past and the present still in other context fight for new kinds of housing and other services is because you're saying that people who are

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in central city, who have low incomes and who cannot find housing in central cities, you're saying that you want all the counties to take their share of that population. And in this county, in this state, the people are both black and Puerto Rican and most of them probably have an income under \$12,000 and many of them, given the current situation, have difficulty in the job market and many of them at the moment, if they're under the age of 23 or 25, are unemployed.

At the moment, my definition of the poor is that those people who are at the moment unemployed, have very low income, many of them who are living on welfare or just beyond. And I would really like them to have housing. I am not convinced that for them, an exurban community is a wise one. That's where I'm at.

Q Let me see again if I understand what you're saying.

things other than housing that must be employed to

treats successful housing for certain categories of
the population?"

- A For all categories of the population.
  - Q Okay. You've described it in terms of

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Is that also true for people of a higher socio-economic status?

Imost everything we know about the main difference between the poor and the rich is that the well-to-do have the resources to buy the connections, to buy the services that I've been talking about, and that the percent of their income that they spend to do it is proportionately less than the poor and that the poor have to spend the greater percentage of their income in order to get those services. But both poor and rich have certain expectations in demand.

So that the problems are intrinsically the same but the rich can pay for the solutions, is that accurate?

Let's say that the rich have, for a variety of reasons, practiced what you might call a nuclear family resistance and nuclear families have been able to exist in suburbia and exurbia. And when you're poor, the poor can't afford to live in nuclear families. They must maintain their networks because, in fact, the only way they can get what they need in life is to have mutual support systems when you don't have I'm saying that the mutual support systems may be more important to them than the housing.

Okay. Now, you indicated some studies

by Mr. Saracin (phonetic). Can you give me the name of those studies?

Josie Bass (phonetic), the psychology and education departments in Yale.

Q Can you give me some dates of those studies?

A They are three or four books and they've been out in the last four or five years.

relying on some studies of black families in central cities. Can you identify those studies for me?

A There are a whole set of studies on black families that have been coming out. Genovesie (phonetic) and several others. There have been some fascinating interchanges on them recently. I don't have the names, but I can provide them for you.

I think the main purpose of those studies were originally because, as I indicated, Moinahan and others really said that the black families were really disintegrated and there was nothing there and there was really social disorganization. I'm saying that some communities and the poor have a social organization.

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The social organization may not be the kind that we accept in our white middle class society, but nevertheless, it's there. And to deny them that kind of structure without helping them develop in a new one is the way to victimize them.

You made reference to Marin City.

Were your comments on Marin City based on any research
and empirical studies?

Marin City is a city that is singularly in Α the news in San Francisco newspapers and has been as long as I've lived there since '68. When I was in HUD, HUD was really very concerned because Jim Shoyer (phonetic) was a congressman at this time and HUD was being beat on the head for assisting in the development of a suburban ghetto community. I have not been able to dig up any studies, though I hear that there may been some graduate student studies, I can't find them. The only thing I can find is the San Francisco Chronicle, if you go into the files, you will find endless tales of Marin City. If you go to the San Francisco Foundation which gives out most of the grants, you'll find that the pressures for grants to deal with the problems of Marin City are high.

Q That's the source of your information?

My source is the fact that a lot of my students

I'm also on an advisory council in Marin County on something else and this issue comes up over and over again in terms of alternative housing developments.

They're really talking about how you develop housing for the poor in a better way than Marin City.

I might add here that HUD found during the sixties when I was there that the best housing projects that were developed were in communities where first the housing was tiny, that people were able to maintain the ties to their home base and where they didn't create housing projects that were the size of institutions. So Marin City is an institution in a way. It's to me like a big mental hospital. And what I'm afraid of in Morris County is when you start building low cost housing from the rest of the county, it may have a ghetto wall, even though it's invisible.

O Okay. Is that at all recorded in any reports, your description of conclusions drawn by HUD?

A Well, there is no report that I can remember on Marin City, but I would --

Q No, you made reference to some -
A I was saying at the time I was in HUD, that

came up over and over again. I haven't got my records

from HUD and I don't remember where it was. I would

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Duhl - direct

if
guess that/somebody asked HUD for the records on

Marin City, you could probably get them.

No, you made reference to some general conclusions HUD had drawn about the best kinds of low cost housing projects.

remember that when we were talking about public, I can tell you where it first came up. It came up when we were talking about senior citizen housing and there were people developing senior citizen housing at two and three thousand people and we were afraid they were institutional and found the only ones that were successful were those of 300 people. There was somebody there by the name of Abner Silverman who was the head of housing who was really quite concerned that we had been building public housing in places like Chicago and Dallas and Pruitt-Igoe of 12 and 15,000 units. I mean people, population, and they were finding it very difficult. I don't have the reports.

You made reference to studies that had been done on English new towns. Can you tell me what studies you were relying on there?

A There were a variety of ones. Some of them are Peter Maritz (phonetic), some were done by Peter Wilmont (phonetic) and I may be able to find them here.

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One is called The Evolution of the Community by Peter Wilmont, another is called Family and Class in a London Suburb, Peter Wilmont and Michael Young.

What was the name of the second one again?

Family and Class in a London Suburb. Then there was a study by Peter Maritz. It doesn't have The one that's in the book is Family and Social Change in an African City which also is actually relevant and his report on urban renewal in the United States which was in my book, The Urban Condition. this book Michael has a summary of a lot of those studies. Not all of them, but some of them.

Okay. Now, I wanted to go through some portions of Mr. Ferguson's letter, PLD-1, in a large measure because I don't understand the vocabulary and I want to ask you some questions just to clarify my understanding of the vocabulary and how it all fits together.

> (Whereupon, a short recess was taken.) (Whereupon, the deposition resumes

BY MR. EISDORFER: (Continued)

after the recess.)

If you look at page one, the second paragraph, third whole paragraph, you make reference

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Duhl - direct to the fair share methodology of married books.

Could you describe to me what you understand that to be?

I don't have all the details at the moment, but it's my strong feeling that she's trying to develop evidence to show that the fair share of the housing could be equally distributed in all the counties all over the state and that in Morris County, particularly, there are jobs available and resources available to meet the population and the needs of the people.

Okay. Also in that paragraph, the very last phrase in the paragraph, it pertains to viable housing.

Can you explain what that refers to? Which one is that? Α

The very last phrase in paragraph 0 three.

Α Viable housing.

> MR. FERGUSON: For your information, that was probably my phrase and that was supposed to be a shorthand for the things that Dr. Duhl has been talking about, the cultural network necessary to support it. That was in my mind when I wrote it and

whatever he wants to say about it is different.

necessarily the word viable housing myself but if I could translate it into my language, I would say that to me housing is not just a specific shell in which somebody can move in, that housing is something that you have to live with and you have to live with over a time and that it provides for needs. And I'm saying that for something to be viable, it has to be all the resources around it that are connected to the housing in order for it to make it work.

MR. FERGUSON: I should ask you with respect to Mary Brooks, we were referring to the eight count region and the creation of housing need in the East and satisfying that need in the West in accordance with her reports which were based on the DCA allocation study.

O Dr. Duhl, is that what you understood to be?

Yes

Q On that same page, moving back to the second paragraph, the paragraph begins Dr. Duhl will testify as to minimum standards of health, safety and well being, appropriate police cost of housing.

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Are there minimum standards?

The official minimum standards were the Α ones that you've quoted which were the APHA standards. Sadly, the history of those standards were that they were devised in a hotel by C. E. A. Winslow, Professor of Public Health at Yale, that there has been -- those were originally designed primarily because as I said, for barracks apartments and they were originally designed to prevent infectious diseases. Subsequently, the APHA changed and talked about neighborhood contacts and community participation. Nobody has sat down since and has been able to come up with a single set of standards including Professor Baer from USC and that's why there is no report out at the moment. Because what you have is a series of tradeoffs that are social, physical and what have you.

I can give you a list of some of the things that people talk about that should go into these standards, but nobody has come up with the specifics of that

There are no sets that any one person has devised and I would guess that's why the Court used the only one that was viable to them which is completely out of date and inadequate. So what I've

been trying to do is give you a list of the kinds of 2 3 4 5

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things that might go into such a set of criteria and if I get Professor Baer's material, there might be some more spelling out of what they came up with. I do know from him that no final definitive thing has been developed. But there are a series of issues that came up.

Examples, one is one which you talk about in your case. Adequate housing meeting the socio-cultural expectations at low cost. They also say that can't be done without available long term work to be reached at low cost and having meaningful jobs available. talk of --

Come again. Who is they here? Α I'm saying that when Baer was given to do the APHA followup, he came up with some kinds of standards. The one I'm going to list are ones, some of it he mentioned over the phone. I have not seen the reports. I took those, took stuff from the various readings that I did and I'm now trying to give you my list. I said the first was adequate housing, meeting their sociocultural expectations at least cost, I said the availability --

Let me just hold you there for a moment.

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How does one measure achievement of that standard?

housing is that people use housing in entirely different If you look at extended families, you'll find that people live in a variety of different apartments but they use the space between apartments as community living rooms. We find that certain groups use the kitchen as a more important place than the living room. We find that in certain community cultural groups the street is used. And the cultures are really quite different and you can't expect a single package of physically designed houses to meet all cultural needs. So I'm suggesting that we really pay attention to the cultural differences of populations that may move into a community and find out what are the various mixes required for the various kinds of groups that are moving in.

O That has been done?

A It's only done for a specific instance.

Example, Columbia, Maryland. That was designed by

Jim Rouse, I was on the planning committee there. And

what they started with is with a kind of community, do

you want to have in an area between Baltimore and

Washington and how can we attract at least 10 to 12% of

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minorities into that population? It became quite clear since it was suburban or exurban and at that time, that the only population that could move there was middle class blacks and that the kinds of jobs that were there and could be attracted there which are kinds of jobs that had been mentioned which might be near Morris County were jobs available to middle class minorities and not to poor minorities. But they did achieve a 12% rate of blacks in Columbia and the design of the community, we designed the community first as to what kind of community it was going to be. Then we designed the notion of neighborhood. Then we talked about what resources needed to be developed. We talked about community facilities and the community planning and we provided a variety of housing styles for the various people that might move in. That's the only really comprehensive one that I've seen done, though I'm sure there must be some others.

Q Let me put the question a different way.

If I were to go into a community and try and evaluate the housing based on this standard, how would I go about doing it? Would it be possible for me to do it?

A If you know what the population is, the answer

is you probably could do it. One is by really beginning to know something about that population, how they live, and begin to find out from them what their expectations are, what their desires are. And out of that, you can design the community. What Rouse did is that --

I'm not asking to design a community.

I'm asking to evaluate something that's already existing.

A You might find out very quickly as we did in

Pruitt-Igoe that they were destroying the building

because the building wasn't designed for them. You

might look into the public housing projects in New York

and find out that high rise apartments are being

destroyed and there are incidents taking place in it

and that the rape rates, the murder rates, the call

of police and a whole host of other things are ways

of measuring indirectly what the symptoms are of

housing that don't meet peoples' needs.

You could also find communities where people actually participated in the design. And some of the rehabilitation programs in New York where people redesigned the housing that they live in, the tenements. You find that those houses are amazingly well taken care of because they designed it and pulled it together in ways that met their needs.

I have a student who just took a study in which he took pictures of how Cuban and other Latin American families in New York City redesigned their apartments to meet their local needs. And It's a beautiful description of how they Latinized their apartments and the apartments are completely different from what one could call modern clean housing.

Mell, would it be fair to say and tell
me if I'm wrong because I may well be that what you're
telling me is that if someone gave you or a suitable
expert in the field a layout of the housing development
and an inventory of services available within a ten-mile
radius, they would or would not be able to evaluate
that housing in terms of this standard?

I don't think you could unless you knew exactly who the people were and who the population might be and which people would come first. It is a supposition in the Morris County thing that if you provide small housing, that those people would move in first.

The history of English housing and the history of Columbia is that the substantial middle class move in first and that room has to be provided for them and only later in nonlabeled inexpensive housing the others will begin to move in.

You were going to give me more standards?

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Okay. The next is the availability of long 1 term work, to be reached at these costs. It includes 2 transportation and other costs. 3 Okay. Again -- have you finished 4 describing that standard? 5 No, I would say also that these jobs were Α 6 meaningful, which is very important. Meaningful meaning 7 in this instance that this doesn't mean janitorial 8 sweeping. 9 How would one go about evaluating Q 10 whether the standard is being met? 11 Well, the critical issue here is what kinds 12 of jobs are currently available in Morris County. Who 13 has moved into the area? What kind of companies are 14 likely to be attracted? 15 In looking at their employment patterns, see 16 whether those employment patterns will, in fact, be 17 jobs that are available to the kind of population you 18 want to attract to the community. I suspect, and I 19 really haven't got all the data on this because I don't 20 know who has, that the population we're talking about 21 who I called poor, that there aren't any jobs for them, 22 or a minimal number of them. 23

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Duhl - direct

Q What information do you rely on in reaching that conclusion?

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Based on the kinds of companies that do move Α out of exurban areas first all over the country. Based on any specific information about Morris County? A No. Now, in terms of that standard, what Q relevance does that standard have to housing for people who are going to fill jobs like custodial jobs? Oh, I think it would be -- if they have custodial jobs, fine. If there is housing, that's okay, too. I'm not objecting. I'm coming up with criteria not that you meet one by one but in a total mix in which various things are weighed. If you say will housing be available and will just some jobs be available, yes. You maybe answered both of those to the affirmative and say yes. But, in fact, when there's so many other issues available that are important for anybody moving in something, into a community, and if those others aren't met as a total package, I would still say meeting those two aren't 20 enough.

Okay. Let's keep going.

A Then I said one which I referred to before, the availability of money to maintain or learn the new lifestyles required.

The availability of money to maintain or learn 2 A the new lifestyles required. 3 And how would you evaluate that standard? 4 As I indicated earlier, that you need 5 Α proportionately more money as a poor person to maintain 6 the life standard in that community than if you're 7 rich. And custodial jobs usually don't pay enough 8 to meet those kind of requirements. 9 I'm actually predicting you're not going to 10 get lower class minorities. You'll probably get 11 middle class if at all and housing that is created 12 for minimal space will not be filled by the minorities 13 that you're trying to attract. 14 Now, can you put a dollar figure on 15 this for me? 16 A dollar figure? No. Α 17 Can you give me a ballpark figure? 18 MR. FERGUSON: In terms of what? 19 MR. EISDORFER: In terms of money 20 available to maintain or learn light skills. 21 No, I can't give you that because I can only 22 just tell you that --23 Well, are we talking about ten cents, 24 ten million dollars or can you narrow it down for me? 25

Give me that one again.

I'm saying that if somebody has a \$25,000 a year or more income, they can afford to buy cars which permit you to be mobile and to go to the resources that you need. You have to have money to pay for telephone calls back home.

One of the things that took place in London is that they tried an experiment of making these new town telephones local calls in London because so many of the people there were trying to call home and it was costing them so much money for telephone bills and they couldn't afford to live out in the community. Those are petty costs, but they add up after a while.

Q Okay. Well, can you give me a ballpark figure?

A I can't give you a ballpark figure at all.

I haven't done enough on economics in families.

Is there empirical research in which you base your conclusion that this is an appropriate standard?

As I tried to illustrate before, that when we said people are denetworked and there are many studies of denetwork, they tend to break down. We also have studies in Michelson, there are some reports of what happens to people when they try to keep up with the life standards. There are no dollar costs

attached to it, but there are empirical studies reported in Michelson's book.

The next one is schools and other facilities required if children are involved. And I might say that the Court has specifically said that the developer didn't have to worry about school sites because that would increase these costs, if I read it right. And to me, that's kind of horrendous when a community starts to build up in size, this then says those resources won't be available. I might say that almost all suburban communities and exurban communities have very few fine resources available for adoloscents and unless those resources are available, you pay the price in automobile related problems.

Q Can you tell me what you mean by other facilities?

Other facilities include recreation facilities, places to go, places to hang out, for teenagers, where do you take your car to be worked on and where you can work on it, where you can have your dances, where you can go for cokes, sodas and a whole host of other things. These are part of the things that I keep calling community.

Q Now, again can you tell me how one would go about evaluating whether the standard is being

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A If it's a single purpose community that is only providing housing like many of the post-war housing projects all over the country, a lot of the suburb and developments just provided for houses and they became bedroom communities. And studies of those, Levittown Study by Herb Gann and others indicated that the kids in this community required these kinds of resources or else they got in trouble.

Q Well, are you saying, you know, can you give me figures in terms of classrooms for a number of households or number of MacDonalds per household or is it all sort of, you know, ad hoc? No. In fact, I would refer you back to Columbia where before they designed the community, they spent five years in a major Ford Foundation Grant designing the educational system for this new community. That was considered part of the developer's costs.

You might check with Jim Rouse and Rouse Incorporated in Baltimore.

Well, I'm --

But that's typical, you see. I'm not --A

I'm just unable, and it's not, I'm not criticizing you, but I'm unable from what you're telling me to tell how I or a court would recognize

Duhl - direct 56
whether this standard is being met.

A Well, I'd say that at some point, even as the simplest of questions, are there any plans for the development of schools and other resources available in the plan?

MR. FERGUSON: Perhaps I can be of some assistance.

Part of the reason for offering

Dr. Duhl's testimony is to point out that

some of the most crucial kinds of considera
tions are very difficult to be quantified at

this time in the development of the state

of the orative comprehensive planning. That's

the whole point, number one.

Number two, do you intend to ask

Dr. Duhl are there kinds of things that can be done in terms of designing least cost housing which will minimize the social costs that he's talking about? For instance, when it comes to schools, it has been apparent that there is declining enrollment in many urban and suburban districts and excess school facilities. Does it not then make sense to target those communities who have excess school facilities for the kinds of

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housing which require school facilities?

Because some of it already exists whereas

if we allocate to vacant land, you'd have

to build them brand new. And the whole

point of using an economic marginal type

of analysis of social cost is to minimize

the expenditure of dollars in the siting

and construction of housing on a systematic

comprehensive basis and not just focus on

construction costs.

Another kind of thing is least cost siting and there's been some development of that in records of zoning cases going up to the Supreme Court in terms of environmental costs and least cost siting. But from Dr. Duhl's perspective, least cost siting should also include locating housing for minority or low income groups closest to the existing facilities which might be able to provide the networking necessary to make that housing viable over time.

For instance, to take the town I know most about, Chester, does it make sense to provide housing which could be utilized by lower income groups close to the center

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of town near the borough where there are
some shopping and commercial facilities,
or should you allow it to be built on
Mr. Caputo's land which is four miles away
in the middle of cornfields and trees with
no possibility of social networking except
at the cost of an automobile and a drive
into town?

Okay. For the record, Dr. Duhl, do Q you endorse the statements of --

Well said and magnificently put.

MR. FERGUSON: The kinds of specific questions we would intend to ask Dr. Duhl would be keyed to those very kinds of concepts.

It is recorded as Dr. Duhl's Okay. testimony.

> MR. FERGUSON: Well, no. I just wanted to help you in your questioning.

MR. EISDORFER: Well, what you're telling me is I'm asking the right questions.

> THE WITNESS: Fair enough.

Keep going.

One of the highest priorities in almost all the inquiries is the importance of security and safety

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for people, especially children, the old and women. And I might say that safety in most communities now being planned, they're designing it in terms of police forces, guard dogs and fences, lighting, while in the old study of Jane Jacobs and The Death and Life of American Cities, she points out that a community that is alive most of the day, 24 hours a day, where there are people working and living performing multiple functions, people watch over people at all times and that you gain safety by the community being present rather than it be a cold housing project or a physical development.

In Pruitt-Igoe which I indicated, the housing was beautiful but there were many dark and unsafe spots because many times during the day and night, no one was available. Again, this is an argument for an encouragement of a broad based community rather than just a housing development.

Q And again how would one evaluate achievement of a standard?

My way of evaluating is to say are you doing a specific response to safety which is policemen at every corner and television which is now going into many housing or whether the community itself takes care of its own safety.

Q I'm not sure what the latter idea means.

Can you explain that to me?

when people watch out for each other on the streets, you're safe. Simply, one of the things in the West End in Boston and when I walk through communities, I noticed that people are watching everybody. And there are people, eyes and ears all over the place. When I go into suburban communities, there is nobody there. There are times when nobody is available. There are times at night when it is not only dark but nobody watching because everybody goes to sleep at the same time.

 $\Omega$  How would one go about planning that into housing?

A You don't plan just the housing. You plan
the housing as part of a mix. Again, back to
Mr. Ferguson's comments about places that already
have an infastructure, where there is already available
commercial sites, where there are stores, where there
are other resources, where in fact the town is alive
24 hours a day to build housing into that provides
more safety than to build housing isolated from that.

Q Okay.

A The next one interestingly enough has multiple

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definitions depending upon who you are, but it's a combination of privacy and not being bothered. And for the middle class who are nuclear families, that means in a special home all your own. For the lower class, it means to permit us to live the kind of life we like to live without being bothered by other kinds of people. And this means to permit your kind of culture to flourish.

And can that be measured? Okav. It can only be observed and this is why I Α think Mr. Ferguson commented my other comment concerns. Which is that these are more like noncountable, ... nonquantifiable, but much more anthropological type studies. I've had studies like Herb Ganz' study of Levittown and the West End are just examples of the gathering of impressions about how communities live and behave without sitting down and counting particular factors. When you count things, you count what you are capable of counting. If your planning depends upon that, you leave out the noncountable which may turn out to be the more important, I guess one of the my remarks is that the noncountable may turn out to be more important than the countable.

In general, I think that the things that are beyond housing and not defined in terms of cost of

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housing production may be more important than the specific costs for the houses.

Well, a little while ago you commented that you thought the preferred way of dealing with this problem was to have each community come up with a comprehensive plan of which housing would be a part. That's correct.

How would a community adhere to your model and assuming you were the person to receive those plans, how would you go about assessing whether a community had dealt with this stagger in its comprehensive plan?

First I would say that a community has to come forth with some very broad performance specifications covering a lot of these areas in the plan. There are many ways to meet these broad performance specifications and if the builder was building, I would expect the builder to come up with alternative solutions to those broad performance specs. not believe that they should be standards and I'm going to emphasize the difference between standards and spece

The federal government years ago wrote so many standards for housing, hospitals, nursing homes, etc., that they were all built identically all over

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population in a local group, how would you meet those specifications in your own unique way? And I think only a community can do it that way. And the developer would have to demonstrate they met those specifications so that the community maintains the quality of community that some of us think are really very important and essential.

Q And what performance specifications would be --

These are the ones I'm listing. I'm saying Α

Maybe I didn't ask my question with Q clarity.

Looking at the last standard we talked about, privacy and not being bothered, if you were the person who was going to be reviewing the comprehensive plan produced by a community, how would you determine whether they had dealt with that standard?

I would like to know whether, for example, anybody in the planning had ever cared to talk to any of the potential plots, whether they knew anything about the living styles and living habits of the

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Duhl - direct population, whether in the design of this, the examples they used came out of communities where this population came from.

I might say that I frequently go to meetings where planners come forth with plans and they may be talking about some east coast city, but actually the design that they're giving you are designs that come out of California and California recreation areas rather than designs that meet the particular needs of the population say in New Jersey and the east. And those are different.

> MR. FERGUSON: When did you last do that?

This morning. THE WITNESS: very obvious that when the planners present materials to you and that the material is drawn out of population groups that have absolutely nothing to do with the population they claim they're going to serve, they haven't met the standards in any way whatsoever.

So again let me paraphrase, what you would look for is a planning process and no specific --Α No, I would ask the community to give us the process, to describe the specifications in the process. I'd ask a specific builder to give the standards that

1 out line by line to meet the broader criteria. 2 Would you like/to go on with some of the others? 3 Yes, please. 4 MR. FERGUSON: Why don't we take a 5 three minute break? 6 (Whereupon, a short recess was taken.) 7 (Whereupon, the deposition concludes 8 after the recess.) 9 BY MR. EISDORFER: (Continued) 10 You were on the sixth of your list. 11 Okay. The next is the ability to maintain 12 contacts with family, friends and in parenthesis I 13 would say old long time friends that become easily 14 and closely available so that the real key issue becomes 15 And I think I described most of that before. 16 The next item is shopping in a variety of 17 "family" stores nearby. And what tends to happen is 18 that, as you know, the poor tend to shop in chain 19 stores and don't know how to use discount houses. 20 They don't also make use of if they have ethnic type 21 foods and things like this, it gets expensive. I 22 yesterday saw a student of mine from Nigeria and he 23 was asking for fiscal aid and he was asking for himself 24 for a hundred dollars a week food. And I said hey, 25

he is going to meet himself. And he'd have to spell it

American food and I have to go all the way to

San Francisco to get this food and by the time it's

Cone, it's an expense.

Q Well, let me ask you again how one would evaluate a treatment of a standard.

A The only thing I would say is if in the distribution of commercial enterprises within the community area, the availability of stores that meet the particular cultural needs of particular population groups that you're working with.

Q Well, how does one recognize those particular needs? In whose needs does an A & P fit?

A Well, I tell you if you're Jewish, a good delicatessen nearby you can smell. If you're Italian Sicilian, certain else. If I go into a black community and you see the way they eat, they shop with certain kinds of cuts of meat which I can't find in a Stanley Safeway Store (phonetic) which you'll find in central city stores. Those are typical examples.

Q Let me ask you a question particularly (Answer)
relating to the standard. /Remember I started the
standards by saying these are part of the tradeoffs
that people utilize in making choices of where they
want to live.

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I understand that but now are these standards that ought to be planned in advance? clanned for, that's correct. But these are standards that make up what I would call a community.

Do I accurately characterize your views in saying that if the people are there, these things won't happen automatically?

You've really hit what I find the main objection to most of this planning that you're talking about in your defendant in your case and that is there is an assumption if you build the houses, all else will follow. I'm saying that's very specific because I'm saying that any planning that is single purpose planning essentially makes the assumption that automatically, all sorts of other things will happen that will fulfill the needs of the population. I am saying that they may happen, but over such a long time that a price will be paid by the population and by the community at large for the lack of them. And I'm also saying that if ou happen to be middle class or upper class, you may be able to buy your way out of this which you can't do if you're poor.

Let me give you a hypothetical and Mr. Ferguson will find the hypothetical example because I gave it to Dr. Esser also.

Let us suppose, let us consider the north ward in Newark which I know because I live there which until relatively recently was an affluent Italian area, full of Doctor Rusmano (phonetic) and Rullino (phonetic) and it has now a significant Hispanic population and with that Hispanic population has come a large number of Spanish grocery stores.

Is that a bizarre -- first of all, am I misobserving that when I say that that's the case?

Is that an implausible sequence of events?

That's what happens in changing communities, they do move in. But you've got to remember that there's a difference between a new town situation and a central city location because the relative cost to open a store in a central city is much less than out into exurbia. Everyone in Columbia, Maryland which is a middle class community, in order to get a delicatessen in there, and even to get a delicatessen in Cherry Hill Shopping Center, Jim Rouse had to subsidire the delicatessen for at least two or three years to get the thing started.

Now, if he had to do that for those kind of communities, expect poor communities to get their bodegas (phonetic) into the communities you're going to have to find subsidies. Some of the small loans

2 happen. 3 Is the critical difference then 4 you're saying the distance? 5 No, cost, relative cost. Α 6 Well, tell me why the cost is 7 different. 8 Because if you look at -- I don't know north 9 neara (phonetic), but in changing -- let me just give 10 you the ones I know. In changing communities, the stores rent at relatively low rates. In suburban 11 12 exurban communities, they rent with a percentage of 13 a gross and given the current money market, the 14 percentage is going to be very high, and that those small dealers that move into the changing neighborhoods 15 can't move into those shopping centers and those kind 16 of developments. They don't have the base to do it. 17 But they can move into a little corner, open a little 18 grocery store, they can still do it for a few thousand 19 dollars to get started which they can't do in a new 20 neighborhood, new town. 21 So what you're saying is the difference 22 is primarily financial? 23 It's financial, yes, in terms of what it 24

costs to keep one of those rented. And a lot of those

of the government are not enough to allow that to

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people live in their stores and that's why it's 1 economically viable and my quess is that in Morris 2 Conty and these things, nobody is going to be very Lappy to have them living in their stores. Okay, let's go on to your ninth item. 5 The next one is one that I sort of implied Α 6 earlier which was places to hang out and do things 7 or nonformal recreation. 8 Q Can you describe to me what you have 9 in mind there? 10 Well, the number of places that people can go 11 given their definition of recreation in central city 12 is much more than anything that suburbia or exurbia 13 has been able to provide. If we take Al Ferguson's 14 son, he said well, we can hang out at some friend's 15 house or in the summertime, there are some places to 16 hang out. Where in central city, there are many, many 17 things more. They go from soda fountains to stores 18 to ping pong places, roller skating, plus just hanging 19 out on the street. 20 Suburbia, interesting enough, the only hang-21 out for adolescents tends to be the shopping center 22 and people really don't like that. 23 MR. FERGUSON: As an example of the 24

specific application to this case, we would

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offer that kind of testimony when Mr. Mallic (phonetic) specifies minimum standards for new construction in the suburbs as not -- as being consistent with minimum standards of health and safety. We think these minimum standards must be significantly increased to take account of the kind of things

Dr. Duhl is talking about.

THE WITNESS: I'm even saying that his definition of health is too narrow.

Q Again, how would I evaluate whether those are being provided?

A If the community is already there?

Q Well, let's talk about a new community first or new housing in a community.

A I would love to hear a developer tell me what's going to happen to the various age groups living there and what resources are available already or which ones he will help build. What I keep hearing nowadays and I heard again this morning, they said they would reserve empty space for that development. They said empty space for a school, empty space for something else but right now they were mainly interested in building a set of stores and a set of houses period. To me, that is not acceptable.

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to the use of common open space in planned development body of a proposed project in New Jersey, a meeting which he attended this morning.

MR. FERGUSON: Dr. Duhl is referring

### A (Continuing)

The next item is one which I referred to in my general remarks, participatory possibilities or ability to commands, have a command over things that affect their lives. And that is central in terms of whether we continue the theory that services and housing are provided for people or done to people, or whether people have some participatory behavior in planning for it and getting involved in the processes. I know it's difficult in a new town to do that, but it has been done in Columbia and elsewhere. And once the first buildings go up, the people there have to participate. That to me has to be part of the process plan of the developer, not just the process plan of community. I think it's a shared responsibility. Otherwise, you get a bedroom community in which you have people expecting other people to do things for them.

For somebody who has spent a lifetime improving welfare services for people, I've now come to the point

that it's a really very important aspect to help

people to help themselves. That's why I mean participa-

Q Okay. Now, before you go on, is it your view that that standard is supported by empirical research?

A Oh, yes. I think I told you earlier that almost all the health studies have shown that participation decreases the illness rate and we do know that participation even in designing schools by students and universities, people participating in community developments, research all over the world actually, that shows that works. There is research. I can't give you the specifics on that.

Q All right, go ahead.

A The last item is the assistance on a variety of issues like the maintenance of contacts with friends, organizations and family.

I referred earlier to the problem of networking.

One of the most beautiful studies was done, it was

reported recently on issue of Populi which is a journal

of the Nations population fund which talks of

moving a population of Indonesians from a very crowded

island where they had a hard time subsisting to some

place where they had space available, that they not

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Duhl - direct only moved the population in mass, but provided all kinds of assistance in maintaining the community and in dealing with the stress of moving. The American Friends Service Committee has studies that show that people from Appalachia who are assisted in the moving process both in, if I remember right, Cincinnati and in Chicago do better in Chicago than those people who are not assisted in the process. Can you give me the citations so I can find that literature? I can't give it, but I can see if I can find You might ask the Friends Services Committee. I'm trying to ascertain what it is you're relying on.

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Let me tell you, I have to tell you what I said to you off the record before. I'm relying on being in this for over 30 years and getting out of medical school in 1938 and being involved in these kind of things from that day to this and in a sense I would rather not be sitting around quoting other experts. I'm quoting myself and I'm really relying on my observations and including my nonmeasurable observations.

Are you telling me you don't rely on any of these studies?

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I'm relying on these studies, but I'm also saying I'm relying on myself.

I want to know what studies you're relying on.

In a sense it's like going to a doctor. pay for his experience in dealing with patients for I think you're also asking me to depend on my 30 years in experience and I'm one of the very few people who has been in all these areas and looking at all of your witnesses on both sides. There are very few of us. Probably Esser is the only other one other than myself that has had diverse experience. Mine is probably more diverse than his. I've worked for facilities and for housing, I've been in planning. So when you ask me this, you're not asking me to rely on specific evidence which I can give you. All those criteria really hold equally for the poor and well-to-do but as I said before, since we're talking about not only economic but social costs, these costs are relative and, therefore, the costs are of less poignant to the **cell-to-de** who can travel, pay the transportation costs and communication costs more easily.

I will go on one more step because I think this is your problem right now, with the current inflation, the cost to the poor is going to be astronomical

if those nonphysical housing requirements are not met. 1 2 The cost of the housing at the moment is getting so that even the small houses are going to be very expensive and that unless you provide networks, you're 4 social costs are high. If I was poor, I'll tell you 5 6 very frankly, I would not move to an exurban community and I would much prefer to stay in the miserable slum 7 8 that people have defined as miserable because at least 9 I have my friends and what have you who will help me through hard times and the hard times of inflation are 10 pretty rough. I think your strategy has to take that 11 into consideration. 12 Let me return to our own issue here. Q 13 You originally characterized these for me 14 as minimum standards. Do these standards only apply 15 to new towns? 16 No. Α 17 Do they apply to new housing generally? 18 They applied to new housing, but they also 19 you ask me to evaluate an old town. 20 segregated towns with single interests are abysmal. 21 They don't meet these criterion. 22 Is it your view that in general, Q 23 existing communities automatically meet those standards? 24

I think you're raising a very important

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No.

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meet these standards. But I have an unobtrusive

measures are. They are if you go into a hospital, you

smell it to see if it's a healthy hospital. There is

one unobtrusive measure that tells me what kind of

communities people like. The builders of most new

towns find that the planners do not live in their new

towns. They live in those socially organized parts

of old towns which have a life to it.

I don't know this part of Newark that we went to to have lunch when I was here last time, but it had a life and vitality to it, because it was Portuguese and Italian and Spanish.

MR. FERGUSON: Ironbound.

### A (Continued)

Interesting enough, planners are attracted to those kind of things because there's life. New towns are very difficult. And the only people that I thou was struggled to deal with this are Columbia, and I den't wen put them up as an example because that ensive proposition that was done. So I'm really coming up with some different conclusions. I'm saying that since these costs are so high and since the strategy at the moment is to maximize houses for

the poor, I would like to look at total costs and build upon all the resources that are available that we possibly can. It may be in central city. It may even be next to it. I would be emphasizing rehabilitation, homesteading, sweat equity and a whole host of other programs, scattered housing rather than try to encourage a policy of new towns.

So if you ask me what you said before, if you don't win the case, do I maintain the status quo. The answer is it's not status quo. I'm really on your side. I would like housing for the poor. And I would state that categorically. But I would like it in such a way that they don't get punished. And the only way I can see that is to build on the sources that are available.

I won't go the full ghetto technique. But I think that maybe a more viable technique than moving into new areas.

Q Let me get back to my question. Am

I correct in saying that --

A Much as I'd like to distribute the population all over the state and there's a piece of me that would like that, I really don't think it's a viable strategy for the next ten, fifteen years at least because of what's going on, inflation and otherwise.

1 Q Let me return to my questions. 2 Let's go back. Give me two questions ago ore we got off. 3 (Whereupon, the previous two questions 4 5 and answers were read by the court reporter.) 6 BY MR. EISDORFER: (Continued) 7 Am I correct in paraphrasing it to 8 say that these standards apply to all housing? 9 That's correct. All communities. See, I Α can't separate housing from community. 10 Now, is it your view that negative 11 consequences flow from providing housing that does 12 not meet these standards? 13 I certainly believe that. Α 14 Can you summarize briefly what kinds Q 15 of negative consequences one would anticipate. 16 Well, as I indicated the various studies, Α 17 moving populations show that when you disconnect 18 people, you put them under stress. The disconnections 19 and the tress and the disconnections lead to an 20 increase in social costs in a wide variety of things 21 related to welfare costs, educational costs, police 22 costs and so forth and so on. Those are tremendous 23 number of costs that any community will have to bear. 24

I'm saying that I would rather be preventitive,

I happen to be a public health physician, and I really 1 believe in preventing the problem than just coming in 2 and being bandaging and each time there's a problem, 3 come in and trying to solve it. 4 I'm trying to understand what the 5 negative consequences are. 6 Illness, social pathology, social disorganiza-A 7 tion. 8 Q Social pathology means what? 9 May end up with delinquency, murder, crime 10 I indicated to you that a design in Pruitt-Igoe, 11 there's some other studies in Pruitt-Igoe, have shown 12 that the social courses in illness terms, in educational 13 terms which I would include now development of kids, 14 lost opportunities and so forth. So what I'm trying 15 to say to you primarily is that your costs cannot be 16 measured just in terms of housing costs. 17 Okay. So you've listed things like 18 high crime rates, failure in the educational system. 19 Are there other things? 20 Medical illnesses. The studies of stress have 21 shown that people with high stress studies Holmes and 22 Ray and a recent book of Kenneth Ridere (phonetic) just 23 came out, summarized all the stress studies and point 24 out that people under stress end up with a variety of

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illnesses which I have to include under social costs.

Q And these are the kinds of consequences that are associated with housing that doesn't mean the standards?

A Understanding that earlier on we talked about APHA standards. When they were originally designed, they were to deal with infectious diseases. At the moment, there are other kinds of problems and I'm saying that to worry just about space allocation and physical space and physical housing does not deal with the kinds of illnesses that the public health profession considers critical.

So if, for example, I were to go into a community in Morris County, let's say a community of new houses, for example, in Morris County, and I saw these kinds of indications, would I be -- would in your view I be fairly drawing an inference that these standards aren't being met?

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Q Okay. Now, let me refer you to page three of PLD-1. I ask you to look at the third paragaph and the second sentence which says that there is a direct relationship between mental health and well being and the silt environment.

A That's true.

Q Can you describe what that relationship is to me?

I have an article in this particular book, it's a conference report called Health Promotion Through Designed Environment and it is a paper called The Promotion and Maintenance of Health, Myth and Reality, and this was done for the Canadian Department of Health and it covers not only illness, but issues of health and issues of human development. And I consider human development a part of health and mental health. I think mental health is not just concerned with mental illness per se but with health development of children and adults, proper aging and under presentation of children. In this I raise the issues of all the environmental design questions which might possibly impinge upon the population.

I'll just refer you to the article because it's a very long one. But one of the questions that I raised for the Canadians which they were interested

in and, in fact, are prepared to embark on is that
in every construction, people would be asked before
they constructed to come up, not with what the
Americans are doing, environmental impact statements,
but health impact statements. What is the impact
of this construction on the health, including the
mental health of populations, and really trying to
spell out what all those things are. And the Canadians
who are in a very different place than Americans at
the moment on what health is, because they're putting
a large amount of their health dollar into redesigning
the physical environment, are finding that it's more
important to redesign the physical environment to
encourage health and mental health.

In mental health, it has questions about child health. For example, it becomes crystal clear that high rise apartments in which parents cannot have contacts with their kids on the street, kids have real difficulty in social relationships. We find that in some housing developments designed like in Pruitt-Igoe where there was an elevator on every other floor, that this impinged on the health of aged people where they became severely depressed and isolated. We found in housing projects which disconnected people from other people, that the socialization in those things led to

serious kinds of depressions. So that there's a range of concerns in mental health that both Dr. Esser and I can probably answer if you get specific. But the paper outlines a lot of the critical problems and refers to a lot of the issues that I've been talking to up till now.

Q Now, I ask you to look again at page three of Mr. Ferguson's letter, at the last sentence of your first full paragraph, the parenthetical sentence which says who pays is not the relevant consideration as "least cost" is a cost to society concept.

A What do you want to know about that?

Now, would it be correct to say that from your point of view, it makes no difference who pays the cost?

is

A I'm saying that the first thing/you have to talk about the total costs, all the total costs, not just the housing costs but every cost. I'm saying that the cost, if the builder does not provide services or say schools or anything else, that costs will end up in the community at large. It will not just go to the rich in the county but it will also be paid ultimately by the poor who move there. So I'm saying in order to look at the costs, you have to look at the total cost to the total community or the total society and not

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constructed a model that looked like this, that the builder in effect set up a fund to pay for those costs that could be quantized. And in turn passed those costs on to his purchasers or tenants.

From your point of view, would that be an acceptable way of doing it?

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Α See, now I think you've got a really very interesting economic question. I keep coming back to Jim Rouse because he's probably the only person I knew who designed this wisely. He did a developmental model for all of Columbia over 25 years. He ended up postponing his profits until the later years, I think it's the tenth or fifteenth year on. He, therefore, was able to pay for/the costs where all these services start up, still keep the houses cheap and then when he sold land 20 years later and 15 years later, the inflation which he predicted would be about five percent a year would give him the profit that would pay for the initial expenditure of social services. me was a very wise transfer of costs. He got a profit. He did not transfer it on to the buyers of houses and I don't believe you should transfer it on to the buyers of the poor. But I think you should devise something that would put your profits to later years.

Most developers build and the only reason

they're interested in anything social at all is

because they're being forced to and they're trying

to come out with a straight profit. I really don't

believe they can get away with saying I'm going to

make a profit out of this and then transfer the costs

to the rest of the community and to the poor themselves.

developmental costs. 2 MR. EISDORFER: Can I have my question 3 again? 4 (Whereupon, the previous question was 5 read by the court reporter.) 6 I would answer you no because I indicated that Α 7 I would not want to pass it on to the buyers of the 8 houses or the consumers. I would like to transfer it 9 on overtime to his long distance profits. See, I don't 10 like the idea of developers getting in there and getting 11 out in a hell of a hurry as soon as they get a profit. 12 I really think they have something called responsibility. 13 And I think there should be a criteria of a community 14 that says if a builder builds, they have to assume 15 some of the responsibility over times for that 16 community. You better not transfer it to the community 17 because that's a copout, too. 18 Is it your view, your professional 19 view, that's the only way development ought to be 20 permitted? 21 With responsibility by the builder? 22 0 Yes. 23 Yes, sir. Α 24 I mean with the builder absorbing the 25

They have to pay part of this as part of the

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costs?

No, I didn't say absorb it. I said assuming responsibility over time he would make his profit postponed in 10 to 15 years. He would still make a hell of a profit. Rouse made a hell of a profit in Columbia, but he didn't for 10 to 15 years. I'm willing to have him make a profit. Most builders come in and out in a hurry. They went in, pulled a profit out and left behind a town that was just a bunch of buildings and then they left the community, the county and state to bail them out. Now, I can't write the criteria, but boy I would love the criteria which says anybody who lives in my town has to stay there and live with it.

Q Let me go back now to some background things on your qualifications.

Do I understand that you have a medical degree?

That's correct.

Q And have done a residency in psychiatry?

A That's correct.

Q Do you have any formal training in architecture?

A I've been a professor of city planning and I've taught in the school of architecture since 1968.

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Duhl - direct 89 S I have no trainingin architecture and I was in HUD, 1 Department of Housing and Urban Development, for 2 3 plus years. Do you have any training in economics? Q 4 No. Α 5 And in city or regional planning? Q 6 I might say that I've taken most of the Α 7 courses in city planning, but I never got a degree in 8 9 all the 15 years I've been --MR. FERGUSON: You're asking a 10 Professor in the city planning school, 11 whether he has any training in that discipline. 12 MR. EISDORFER: That's right. 13 That's interesting. I must say I'm unusual. Α 14 I like to take classes that my friends teach. 15 MR. EISDORFER: I have no further 16 es. questions. 17 CROSS EXAMINATION BY MR. FERGUSON: 18 Dr. Duhl, you mentioned Pruitt-Igoe. Q 19 Did you have any responsibilities while you were at 20 S HUD with respect to that project? If so, briefly tell 21 us what they were. 22 Y Just very briefly, we were having so many A 23 troubles in public housing projects, the secretary 24 asked me to go visit public housing projects around 25

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the country and verbally report back in the secretary's meeting about what we found. Among all those I went to, I went to Pruitt-Igoe and was quite terrified by the problems we found there. I was also interested in the fact that the health people were pretty narrow. They were only interested in treating the cases that came out of this assembly line. The housing people really weren't interested in the fact that they were producing illnesses. And finally they started a task force which I was subsequently not involved with which led to the destruction of the Pruitt-Igoe project based on some of my recommendations.

When you say secretary --Secretary to Housing and Urban Development, Mr. Robert Weaver.

You said that there were examples of suburban communities which are not, in fact, communities. Do you have any specific examples you can give us from your experience of the literature?

Well, I'm trying to get nearby ones. Washington, D. C., right after the war they built areas in northeast Washington into Maryland which were just endless housing productions. And didn't have secondary resources.

The Levittown studies which I talked about

Duhl - cross 91

which did put in some schools in a few little facilities were essentially veteran communities. Years ago,

William White did a book on suburbia when he was

editor of Fortune. He did a study on what he called

ticky tac suburbia. A community like Dale City,

San Francisco, just endless rows of housing which

were built without any resources.

All right. With respect to the fair share methodology of Mary Brooks, can you in general cateogorize or summarize your position as you've explained it today visavis that methodology in terms of, and I'm referring to the eight count region, creation of housing need, from parts of that region and the satisfaction of that need in other parts of the region?

A Briefly, I would say that the model that she used is based one purely on an economic analysis, on a population projection of certain kinds of demography that she really does not deal with a lot of other underlying issues that I talked about.

Unfortunately, her projection was fairly typical of studies that are being done around the country.

In terms of projections, potential growth in the area, potential housing developments, potential employment and really saying on the basis of this, the

distribution should be in such and such a way. I'm suggesting in almost all my testimony that that kind of analysis does not deal in any way with the soft tissues and my main criticism is that she measures what she thinks she should measure, leaves out the most significant stuff.

Q In your opinion, in a case of this kind where a court is considering planning and zoning in 26 municipalities, is that methodology which she uses adequate and sufficient for the purposes of the issues before the Court?

As I outlined in my various concerns, I would say that their methodology is not adequate and that much more intensive studies on the unique characteristics of the populations and unique characteristics of the towns would be required.

In terms of providing the required social services at the least cost to society and also at the least social cost to the intended residents, would you comment upon the siting decision, that is should the siting be biased towards where the network services can be found in place or easily created, or should the siting be biased towards vacant land where the network services substantially do not exist?

A Obviously, the former because if I accept the

notion of these costs which I do, but if I define
these costs as broadly as I do as compared to just
the construction costs, I would have to say that I'd
like to piggyback and make use of what available
resources exist and the best way to piggyback is to
find those resources which are already laid out and

especially those which are not being utilized.

I suppose this is one of the arguments why early in the development the rehabilitation program in HUD, I really fought for rehabilitation of housing in central city and urban homesteading and for sweat equity and for the extension of some of the gilded ghetto rather than to move the poor into new towns.

Some of us were involved in the early concepts of Bedford Stuyvesant and rehabilitating it and building upon structure that was there. The attitude was not only building on that structure but building into it. I would say that though it was not magnificently successful, it was more successful because it had a concrete base.

Q You mention sweat equity. What is that?

A When individuals by their own labor put out or pay for the cost of housing for construction.

Their equity and labor in lieu of actual dollar.

1	Ω They make improvements themselves?					
2	A So that, for example, if you buy a house and					
3	you needed a down payment of \$5,000, instead of putting					
4	\$5,000 down, you do \$5,000 worth of work. That's what					
5	sweat equity is.					
6	Q You mentioned a meeting about a					
7	project which was designed by California planners in					
8	an inappropriate region other than that region. What					
9	region were you referring to?					
10	A They gave us the town of Bedminster in which					
11	they were discussing the development of a particular					
12	site by a Johns Mansville.					
13	Q And you accompanied me to that meeting					
14	and that was this morning?					
15	A That's correct.					
16	2 You talked about inflation a little					
17	bit. Would you comment about the cost of reconstructing					
18	or constructing anew the social network required and					
19	inter-relationship of energy costs and inflation?					
20	How does that work?					
21	A big issue. Let me just start first by					
22	talking about costs.					
23	If you're brought up in the central city					
24	and you're living in central city as my stepdaughter					
25	to be was, you go out on the street and make contacts					

and you move up the hill into a suburb and like dwelling. You have to start learning new techniques using telephones and stuff like that. That can be magnified all the way across the board in terms of a whole set of techniques that need to be learned and then becomes costly.

When you start talking about energy, at the present moment there are a variety of plans around the country to talk about how one meets the high cost of energy. The one thing that's been going on is how do you subsidize the poor so that they can heat houses and how do you get them lower cost oil and gas. The intriguing thing as you look at this is that the issue can be divided into two parts. One part is that the poor require more money to buy gasoline and heat their car proportionately in their income than the middle class do. So, therefore, the inflation costs and energy hit them harder.

secondly, the poor, in order to obtain jobs and to go to jobs, have to travel longer distances and, therefore, proportionately the costs for them are even higher. But then the second way of looking at it which to me is more interesting is that a society which is designed to really have no people work which is the society we have now is a society

that needs a tremendous amount of energy. If, on
the other hand, we had a society in which human energy
were utilized in which you maximized the human
participation in work, you probably would need less
oil, less electricity and so forth.

Well, at the present moment, the first kind of solution which is to subsidize the poor I consider a symptomatic response to the issue. The second which is to find meaningful jobs in which they can work and human energy is required becomes a critically important solution. It has side effects. If you are working on a meaningful job and you are doing it, you are healthier and as I said, participation increases one's health. Those are really very interesting issues if you start talking about something like Morris County. Because I raise on one hand the fact that their energy costs will be proportionately higher when they live out in the suburbs, a car, gas and a lot of other things. Even for heating their house in terms of their proportion level of their income.

The second thing is the kinds of jobs if they are available that they will net will be non-participating jobs and jobs that are meaningless.

In central parts of the city, the most unemployable are blacks and minority youths. But all

youths are beginning to find themselves superfluous.

Unless we can start finding in our communities jobs

rather than symptomatic cures for their problems, we

won't deal with their problems. I'm saying that to

continue worrying about subsidizing and continue just

saying here's some new houses for you but then you

have to deal with everything yourself is a bandaid

type of solution. For a state or community to really

deal with the problem adequately, you've got to say

hey, you've got to design a community with jobs

available to the people you are attracting there and

if you can do that, you really hit the key issue.

You also can deal with the inflation problem because if all the networks are there and the people are really working and working together, their social costs will be absorbed by their friendships and that works rather than having to be paid for at an inflationary dollar.

Q You focus on jobs extensively. If

one is deciding how many units should be planned or

zoned for in a county such as Morris, should one look

at the, not only or merely the number of jobs available

or expected, but what kind of employment those jobs

would provide?

A I'd say number one, kind and the ability not

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to be locked in a job and move into higher accessible jobs. So that if, for example, the only jobs available are low paying custodial blue collar of the lowest kind and if people can't see a potential of moving up and out except through a second or third generation, I would say that's nonacceptable to me. If, on the other hand, the people who have industry there or whatever is going on can see an operation of people moving through the job market, I would say that's an acceptable kind of plan. I know this is a little idealistic, but I think that's the general direction we're going to have to go if we solve the problems of the eighties.

To simply deal with cases we have, what we're going to be doing is coming up with solutions and these solutions will be out of date when they are found that they were finding solutions that are ten years old. We have to really start using our imagination in communities and in the courts to anticipate the problems and plan for the problems of the eighties and nineties rather than use the plans of the seventies and sixties.

I believe this court case is a problem that has grown out of the fifties and sixties and not the eighties.

Q Focusing on the employment problem,
did you find the analysis of the employment that was
found necessary in reports of Miss Brooks, I only have
hints which suggest that the kind of employment that's
going to be there is the kind of employment that is
typically in suburban areas. Look at the peninsula
of San Jose, California, Columbia, you find that those
industries that go out there are what they call clean
industries and they require certain kinds of education
level. In the peninsula now, there are literally
thousands of jobs open for years, but the poor have
no jobs in that area. So the peninsula is currently
expanding like mad with a whole set of jobs in the
computer business and those are the suburban type
community jobs, but there are no jobs for poor except
custodial.

Do you think such analysis of jobs in a community is a condition precedent to inadequate and responsible fair share planning when you talk about allocating housing or planning for housing for low income people?

The answer is definitely.

Q Did you see it in Miss Brooks or Mr. Mallec?

No, in none of them. Α

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Duhl - cross Q Focusing on what you just said a minute ago, did you at my request read the Mount Laurel case and the Madison Township case? I went through them all. A Do you have any thoughts on whether the doctrines in those cases are out of date to use your phrase which you just used a few minutes ago? Knowing a little about judges, judges respond Α to what information is provided to them. And the Decisions that the judges made were based upon what was the best information that they got and given that which is still 1950, 1960 stuff, they made the best decision they could make on it. I think what I'm trying to encourage you to do in this case is to provide a different kind of data so that the judges can look at the data of the eighties which I think some of my information is part of and really begin to speculate on what the key issues are.

There are people, for example, doing epidemological studies and health which have not been brought into housing cases but which would be really important. The study I mentioned about networking and health which a man by the name of Simon and woman by the name of Berkman did really begins to point this The study in Allameda County (phonetic) in what out.

makes a health population in terms of data. Those don't get into court decisions. The kind of material Esser has collected in his data bank about the inter-relationship of what I would call human ecological factors, total ecology of the community, stuff I have outlined in my book which is now old but still very relevant to the urban condition are issues that must be taken into consideration. There's population data that is now being brought together by the United Nations which are scary as can be in terms of what's going to happen in the populations of the world and the United States in the next few years.

I guess all those things are new kinds of data which courts have to use to anticipate problems. And I guess I believe that if the courts are going to assume leadership as they have in some of these cases that you referred to, they should assume leadership that's way in advance of where the society is, as best as they can.

So that I would say that the courts should demand a different kind of data so that they can make the decisions.

Q Your concern is with the data base available to which you make the decisions and not necessarily with the reasoning or the goal orientation?

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That's right. I think you have to have a broad distribution of data and I also think you have to come with models which are complex system models rather than straight lines causing effect models. Most of the models say if you get good housing, then you will have this and, therefore, the following will follow. I'm saying the real way of the life in the city and the life of the people are much more complex. And even though I recognize we don't have all the hard data necessary, we have enough soft data to understand those issues. That those soft data should now be considered as important evidence.

For example, as I mentioned Herb Gann's studies in the West End of Levittown are as relevant as the survey data as economists collect. I think that should be evidential in the court.

I'd like to see this case begin to sort of break ground and I'd even like to see the plaintiffs raise it themselves.

> MR. FERGUSON: Thank you.

MR. EISDORFER: I've got some

questions.

REDIRECT EXAMINATION BY MR. EISDORFER:

Q First of all, were you at the meeting on Bedminster Township just for the ride?

A	Just	for	the	ride.

Q And your knowledge of that is limited what you observed at that meeting?

That's correct.

Q Where is Pruitt-Igoe located?

A St. Louis. It's no longer located there.

It's gone.

Q Was that a suburban area of St. Louis?

A That was actually central city near the

University of Washington.

Q I'm not sure I understood your answer to Mr. Ferguson's last series of questions.

Is it your view that because the Supreme Court in Mount Laurel and Madison Township looked at the wrong kind of data, their conclusions were wrong?

A No, I think they came out with a correct decision based upon the data that was provided and I'm saying that the mistake is that they now have to, since the problems are much more complex, they have to now provide new data and, therefore, I would trust the judges to come up with better decisions. But they didn't have the data because as you point out, you can't expect judges to know the issues of planning and community health unless they are provided the data in these cases.

	Do you think think those now represent
2	bad decisions?
3	A A If you say do they represent out of date
4	decisions, yes. I'd say they're good decisions if
5	they had been made 20 years ago.
6	Q You would say if a court reached that
7	decision today based on all the evidence that you
8	would have them present, that would be a wrong decision?
9	A I didn't say wrong. I'd be uncomfortable
10	because I don't really believe it represents a solution
11	to 1980's problems. So I would be really uncomfortable.
12	I think they are magnificent solutions to old problems.
13	Q Are you talking about a subjective
14	uncomfortability or do you think professionally those
15	are
16	A My answer is both, professional and subjective.
17	Q You indicated that certain types of
18	analysis of labor opportunities ought to be done as
19	a precondition to fair share planning?
20	<b>Y</b> es.
21	Q Is there do you believe that the
22	concept of fair share planning is professionally
23	appropriate?
24	MR. FERGUSON: Objection to the form
25	of the question on two grounds.

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	Duni - redirect
1	One, would you give a description
2	of fair share planning. And two, would you
3	tell us what professionally appropriate is?
4	A Redefine the question for me.
5	Q That's a good objection. What concept
6.	of fair share planning did you have in mind when you
7	answered Mr. Ferguson's question?
8	MR. FERGUSON: I should state that
9	my question I believe was fair share planning
10	for groups of low and moderate income.
11	Q Okay. Tell me what you understood
12	when you answered that question.
13	A I'm saying, I'll probably repeat myself, that
14	fair share cannot be a planning that involves just a
15	fair share for construction of buildings, middle
16	housing people. I think fair share has to include a
17	redefinition of the problem to be houses within
18	communities, within services, within networks and
19	that kind of cost be redefined both as least cost
20	construction and least cost all the way across the
21	<b>board in t</b> erms of total social costs.

Okay. So when you say fair share planning, you're not talking about any of the kinds of planning that represented in the documents you've read?

1 That's correct. I am redefining the problem. Α 2 Okay. That's what I want to understand. Q 3 You made reference to a study by Simon and 4 Berkman that I didn't catch. 5 They did a study on the relationship of Α 6 networking to tendencies of illness and health, and 7 I think it was published in the Journal of Epidemiology 8 but Leonard Simon's is at the University of California, 9 School of Public Health and Berkman is at Yale School of Public Health in New Haven. 10 Did you know what the title was? 11 12 Α I don't know the exact title. 13 Can you give me a date? 14 It's about two years old. Α You indicated that in response to one 0 15 of Mr. Ferguson's questions, that the kind of analysis 16 you've given us today is one that ought to be taken 17 into account in the siting of housing, is that correct? 18 That's correct. 19 A Is it also true that that kind of 20 analysis ought to be taken into account in the siting 21 of jobs? 22 Α I think so, but I can't separate housing and 23 jobs, health education or anything else. 24 MR. EISDORFER: I have no further 25

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questions.

(Whereupon, the deposition concluded

for the day.)

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### <u>C E R T I F I C A T E</u>

#### I, Lorraine Van Tassel

a Notary Public and Shorthand Reporter of the State of New Jersey, do hereby certify that prior to the commencement of the examination Leonard J. Duhl

was duly sworn by me to testify the truth, the whole truth and nothing but the truth.

I DO FURTHER CERTIFY that the foregoing is a true and accurate transcript of the testimony as taken stenographically by and before me at the time, place and on the date hereinbefore set forth, to the best of my ability.

I DO FURTHER CERTIFY that I am neither
a relative nor employee nor attorney nor counsel
of any of the parties to this action, and that I
am neither a relative nor employee of such attorney
or counsel, and that I am not financially interested
in the action.

Notary Public of the State of New Jersey